

**LILLY ENDOWMENT INC.**  
**Exempt Status and Charity/Foundation Status Information Form**  
**Comprehensive Counseling Initiative for Indiana K-12 Students (Counseling Initiative)**

Lilly Endowment, Inc., is required by law and Internal Revenue Service (“IRS”) regulations to determine the exempt status and the public charity or private foundation status of each organization to which the Endowment makes a grant. Therefore, each Indiana public school corporation or charter school applying for a grant under the Endowment’s Comprehensive Counseling Initiative for Indiana K-12 Students must supply the following information, attach the requested documents (as applicable), affix the signature of a responsible officer of your school corporation or charter school, and return one copy of this form and attachments to the Endowment before we will be able to process your application for a grant.

1. NAME, ADDRESS, TELEPHONE, EIN. The exact name, address, telephone number, and employer identification number (“EIN”) of your public school corporation or charter school are:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Zip Code: \_\_\_\_\_ Telephone No.: \_\_\_\_\_ EIN: \_\_\_\_\_

2. EXEMPT STATUS. Most (if not all) charter schools and some public school corporations will have obtained a letter from the IRS determining that the school or school corporation is exempt from federal income taxes under Internal Revenue Code (Code) section 501(c)(3). If you have such a letter, please attach it to this form. In addition, complete the following (as applicable):

- a. Public school corporations: Provide your “Corporation Number” for Indiana school corporations as listed in the IT-40 Indiana Individual Income Tax Booklet for Indiana full-year residents (pages 55-56, for 2015 tax returns): \_\_\_\_\_. Also provide a list of all of the schools in your district.
- b. Charter schools: Provide a copy of the letter (or other document) granting your charter.

3. FOR CHARTER SCHOOLS ONLY - PUBLIC CHARITY OR PRIVATE FOUNDATION STATUS. The Endowment is restricted in its ability to award grants to entities that are classified as “private foundations,” as well as to certain “public charities.” Public school corporations are classified as acceptable public charity grantees because they are educational institutions that are parts of governmental units. Charter schools generally do not have this same governmental status for federal tax purposes. Therefore, each charter school applicant should confirm its public charity status by checking the box below that describes that status (the IRS letter determining that you are exempt under Code section 501(c)(3) often will also provide your public charity status, and the representation below should match that classification unless there has been a material change in your structure or operations):

- |   |  |  |
|---|--|--|
| 1 <input type="checkbox"/> Church<br>Section 170(b)(1)(A)(i)  | 5 <input type="checkbox"/> Governmental unit<br>Section 170(b)(1)(A)(v)  | 9 <input type="checkbox"/> Private operating foundation<br>Section 4942(j)(3)  |
| 2 <input type="checkbox"/> School<br>Section 170(b)(1)(A)(ii)   | 6 <input type="checkbox"/> Publicly supported charity<br>Section 170(b)(1)(A)(vi)  | 10 <input type="checkbox"/> Exempt operating foundation<br>Section 4940(d)(2)  |
| 3 <input type="checkbox"/> Hospital<br>Section 170(b)(1)(A)(iii)  | 7 <input type="checkbox"/> Publicly supported charity<br>Section 509(a)(2)   | 11 <input type="checkbox"/> Private non-operating foundation<br>Section 509(a) |
| 4 <input type="checkbox"/> Organization benefiting<br>state college or university<br>Section 170(b)(1)(A)(iv) | 8 <input type="checkbox"/> Supporting organization<br>Section 509(a)(3)<br><input type="checkbox"/> Type I - Section 509(a)(3)(B)(i)<br><input type="checkbox"/> Type II - Section 509(a)(3)(B)(ii)<br><input type="checkbox"/> Type III - Section 509(a)(3)(B)(iii) |  |

Date: \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Please print name)

\_\_\_\_\_  
(Title – Must be a responsible officer)

\_\_\_\_\_  
(Email address)